

"Express Mail" mailing label number: ET 42007775505

Date of Deposit: 5-3-01

PATENT
AUS920010128US1
(9000/21)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR UNITED STATES LETTERS PATENT

INVENTOR(S):

GARY. D. ANDERSON
STEPHANIE M. FORSMAN
ALONGKORN KITAMORN
MICHAEL Y. LIM
ANDREW H. WOTTRENG

TITLE:
CONDITIONAL HARDWARE SCAN
DUMP DATA CAPTURE

ATTORNEYS:
IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
11400 BURNET ROAD – 4054
AUSTIN, TEXAS 78758
(512) 823-0000

CONDITIONAL HARDWARE SCAN DUMP DATA CAPTURE

BACKGROUND OF THE INVENTION

1. Field Of The Invention

The present invention generally relates to computer systems having self-diagnosis capabilities for responding to system failures. The present invention specifically relates to minimizing reboot recovery time for such computer systems.

2. Description Of The Related Art

A computer system with a high availability requirement is designed and manufactured with high quality standards to operate twenty-four hours a day for seven days a week (e.g., a server computer in a highly distributed environment). In the event of a system failure, the computer system is required to reboot and resume operation as fast as possible to sustain the high availability requirement. Accordingly, the computer system is typically designed with a self-diagnosis capability, such as a First Failure Data Capture capability, which captures error data for self-diagnosis and pinpoints failing hardware component(s). In addition, the system also captures hardware scan dump data (i.e., hardware states, traces, error data, etc.) at the time of system failure whereby a system engineer can ascertain the basis of the system failure when the computer system can't determine the basis of the system failure.

Since the amount of data increases as systems become more complex, the time needed to capture the hardware scan dump data at a time of system failure can significantly delay a rebooting of the computer system. Particularly, large, powerful, and complex computer systems may require significant time for recovery. What is therefore needed is a method and a system for minimizing reboot recovery time for large, powerful, and complex computer systems.

SUMMARY OF THE INVENTION

The present invention relates to a method and system to conditionally capture hardware scan dump data upon system failure to minimize system recovery time. Various aspects of the invention are novel, non-obvious, and provide various advantages. While the actual nature of the present invention covered herein can only be determined with reference to the claims appended hereto, certain features, which are characteristic of the embodiments disclosed herein, are described briefly as follows.

One form of the present invention is a method for conditionally capturing hardware scan dump data related to an operational failure of a computer. Data indicative of an error causing the operational failure is received. An error table is searched for a listing of the error as indicated by the data signal. The hardware scan dump data is captured when the error is listed on the error table.

A second form of the present invention is a service processor for conditionally capturing hardware scan dump data related to an operational failure of a computer. The service processor comprises a pair of modules and a storage device. The first module is operable to receive a data signal indicative of an error that caused the operational failure of the computer. The storage device stores an error table listing error types that can cause specific operational failures of the computer. The second module is operable to capture the hardware scan dump when an error type corresponding to the error is listed on the error table.

A third form of the present invention is computer program product in a computer readable medium for conditionally capturing hardware scan dump data related to an operational failure of a computer. The computer program product comprises computer readable code for receiving a data signal indicative of an error that caused the operational failure of the computer, computer readable code for searching an error table for a listing of an error type corresponding to the error indicated by the data signal, and computer readable code for capturing

the hardware scan dump data when the error type is listed on the error table.

A fourth form of the present invention is a computer comprising a hardware component and a service processor. The hardware component is operable to provide a data signal indicative of an error causing an operational failure of said hardware component. The service processor stores an error table listing error types that can cause specific operational failures of said hardware component, wherein, in response to a reception of said data signal, the service processor is operable to capture hardware scan dump data related to the operational failure when an error type corresponding to the error is listed on the error table.

The foregoing forms and other forms, features and advantages of the present invention will become further apparent from the following detailed description of the presently preferred embodiments, read in conjunction with the accompanying drawings. The detailed description and drawings are merely illustrative of the invention rather than limiting, the scope of the invention being defined by the appended claims and equivalents thereof.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of one embodiment of computer hardware employed in the present invention;

FIG. 2 is a block diagram of one embodiment of computer firmware employed in the present invention;

FIG. 3 is a flow chart of one embodiment in accordance with the present invention of a failure response routine implemented by the **FIG. 2** computer firmware;

FIG. 4 is a flow chart of one embodiment in accordance with the present invention of a scan dump subroutine implemented by the **FIG. 2** computer firmware; and

FIG. 5 illustrates an exemplary error table in accordance with the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring to **FIG. 1**, a computer **10** is shown. Computer **10** may be configured in any form for accepting structured inputs, processing the inputs in accordance with prescribed rules, and outputting the processing results as would occur to those having ordinary skill in the art, such as, for example, a personal computer, a workstation, a super computer, a mainframe computer, a minicomputer, a super minicomputer, and a microcomputer. The subsequent description herein of the hardware components of computer **10** is for purposes of providing a description of the principles of the present invention whereby those having ordinary skill in the art will appreciate the applicability of the principles of the present invention to any computer. Computer **10** includes a system bus **11** for facilitating electrical communication among a central processing unit (CPU) **12**, a read-only memory (ROM) **13**, a system memory **14**, and an input/output controller (I/O) **15**. CPU **12** preferably includes a microprocessor (not shown) from one of the Intel families of microprocessors, one of the Motorola families of microprocessors, or one of the various versions of a Reduced Instruction Set Computer microprocessor such as the PowerPC chip manufactured by IBM. ROM **13** stores various system specific firmware such as the Basic InputOutput System (BIOS) developed by IBM. System memory **14** includes a memory controller and a random access memory for loading the operating system and selectively loading the controlling programs. I/O **15** is an aggregate of controllers for facilitating an interaction between CPU **12** and inputs devices such as a mouse and a keyboard, and between CPU **12** and output devices such as a disk drive, a printer and a fax.

CPU **12** includes a fault isolation register (FIR) **12a** for capturing error data upon an operational failure of CPU **12** with the error data being indicative of the type of operational failure of CPU **12**. System memory **14** includes a fault isolation register (FIR) **14a** for capturing error data upon an operational failure of system memory **14** with the error data being indicative of the type of operational failure of system memory **14**. I/O **15** includes a fault isolation register (FIR) **15a**

for capturing error data upon an operational failure of I/O 15 with the error data being indicative of the type of operational failure of I/O 15.

Computer 10 further includes a service bus 16 for facilitating electrical communication of a service processor 17 with FIR 12a, FIR 14a, and FIR 15a. Service processor 17 has an embedded microprocessor 18 from one of the Intel families of embedded microprocessors, one of the Motorola families of microprocessors, or one of the various versions of a Reduced Instruction Set Computer embedded microprocessor such as the PowerPC chip manufactured by IBM. Service processor 17 also has a memory 19 as a computer readable medium for electrically, magnetically, optically or chemically storing service processor firmware 20 (FIG. 2). In other embodiments of service processor 17, firmware 20 may be fully or partially implemented with digital circuitry, analog circuitry, or both.

Referring additionally to FIG. 2, an interaction of firmware 20 with FIR 12a, FIR 14a, and FIR 15a is shown. Firmware 20 runs on service processor 17 and includes an error handler (EH) module 21, a processor runtime diagnostic (PRD) module 22, a scan dump (SD) module 23 and a user interface 24 for implementing a failure response routine 30 as shown in FIG. 3 and a scan dump subroutine 50 as shown in FIG. 4. While a functional description of firmware 20 will now be described herein by the description of data transfers and signal transmissions, those having ordinary skill in the art will appreciate the physical elements of various embodiments of service processor 17 that are associated with such data transfers and signal transmissions.

Referring to FIGS. 2 and 3, during a stage S32 of routine 30, EH module 21 determines if CPU 12, system memory 14, or I/O 15 is experiencing an operational failure. In one embodiment, FIR 12a provides an operational failure signal OF_{s1} in a reset state when CPU 12 is properly functioning, and in a set state when CPU 12 is experiencing an operational failure. FIR 14a provides an operational failure signal OF_{s2} in a reset state when system memory 14 is properly functioning, and in a set state when system memory 14 is experiencing an operational failure. FIR 15a provides an operational failure signal OF_{s3} in a

reset state when I/O **15** is properly functioning, and in a set state when I/O **15** is experiencing an operational failure.

EH module **21** receives an error interrupt signal **EIs** that indicates whether CPU **12**, system memory **14**, or I/O **15** is experiencing an operational failure. Error interrupt signal **EIs** is in a reset state when operational failure signals **OF_{s1-s3}** are all in a reset state. In response thereto, EH module **21** determines computer **10** is properly functioning and proceeds to repeat stage **S32**. Error interrupt signal **EIs** is in a set state when one or more operational failure signals **OF_{s1-s3}** are in a set state. In response thereto, EH module **21** calls upon PRD module **22**, via an error call signal **EC_{s1}**, to execute stage **S34** of routine **30**.

During stage **S34**, PRD module **22** diagnoses error data to identify CPU **12**, system memory **14**, or I/O **15** as the component of computer **10** experiencing the operational failure. In one embodiment, when CPU **12** is experiencing an operational failure, FIR **12a** provides an error data signal **ED_{s1}** to PRD module **22** that is indicative of the type of error causing the operational failure of CPU **12**. When system memory **14** is experiencing an operational failure, FIR **14a** provides an error data signal **ED_{s2}** to PRD module **22** that is indicative of the type of error causing the operational failure of system memory **14**. When I/O **15** is experiencing an operational failure, FIR **15a** provides an error data signal **ED_{s3}** to PRD module **22** that is indicative of the type of error causing the operational failure of I/O **15**. Upon receipt of any of the error data signals **ED_{s1-s3}**, PRD module **22** reads a specified bit range of the received error data signal to identify CPU **12**, system memory **14**, or I/O **15** as the component of computer **10** experiencing the operational failure.

PRD module **22** thereafter proceeds to a stage **S36** of routine **30** to determine whether the error is a class 1 type error or a class 2 type error. A class 1 type error is an error causing an operational failure of a component of computer **10** (**FIG. 1**) whereby scanning and storing of hardware scan dump data in memory **19** is not needed for a system engineer to determine the cause of the operational failure, such as, for example, a hardware quality error and a hardware reliability error. A class 2 type error is an error causing an operational

failure of a component of computer **10** whereby scanning and storing of hardware scan dump data in memory **19** is needed for a system engineer to determine the cause of the operational failure, such as, for example, a hardware hang error, a hardware hang recovery failure error, a hardware design error, a software/firmware design error, a software/firmware illegal operation error, and an invalid operational condition error. PRD module **22** determines the type of error by reading a specified range of bits of the received error data signal and then searching a scan dump error table of class 2 type errors for a bit match over the specified range of bits. An exemplary scan dump error table **70** is shown in **FIG. 5**. Referring to **FIG. 5**, class 2 type errors are divided into subclasses **2A-2E** with each subclass having a description of the error type as well as a corresponding bit within the received error data signal.

Referring again to **FIGS. 2** and **3**, PRD module **22** proceeds to a stage **S38** of routine **30** when determining during stage **S36** that the error is a class 1 type error. During stage **S38**, PRD module **22** diagnoses the received error data signal to deconfiguring the failing hardware component(s). After completion of stage **S38**, computer **10** is rebooted and routine **30** returns to stage **S32**.

PRD module **22** proceeds to a stage **S40** of routine **30** when determining during stage **S36** that the error is a class 2 type error. PRD module **22** sets a scan dump flag of SD module **23** via a scan dump signal **SD_s** during stage **S40**, and EH module **21** calls upon SD module **23**, via an error call signal **EC_{S2}**, to execute a scan dump subroutine **50** as shown in **FIG. 4** during a stage **S42** of routine **30**.

Referring to **FIGS. 2** and **4**, during a stage **S52** of routine **50**, SD module **23** determines whether SD module **23** is in an active storing mode of operation that is labeled “Always”, an inactive storing mode of operation that is labeled “Never”, or a reactive storing mode of operation that is labeled “As Needed”. In one embodiment, SD module **23** reads a set of policy flags saved in memory **19** (**FIG. 1**). When the policy flags indicate an “Always” mode of operation of SD module **23** during stage **S52**, SD module **23** proceeds to a stage **S54** of routine **50** to capture hardware scan dump data to thereby store the hardware scan

dump data for display and manipulation by a system engineer repairing the operational failure. After completion of stage **S54**, computer **10** is rebooted and subroutine **50** returns to stage **S32** of routine **30** (**FIG. 3**).

When the policy flags indicate a “Never” mode of operation of SD module **23** during stage **S52**, service processor **17** (**FIG. 1**) proceeds to a stage **S56** of routine **50** to execute system terminating operations and to set computer **10** (**FIG. 1**) in a stand-by state. Module **23** thereafter proceeds to a stage **S58** of routine **50** to prompt a user of computer **10** via user interface **24** for an immediate scan dump request. An immediate dump signal **ID_s** is provided to EH module **21** when the user desires to request an immediate scan dump, and module **23** proceeds to stage **S54** to capture hardware scan dump data and then return to stage **S32**. Otherwise, subroutine **50** returns to stage **S32** from stage **S58**.

When the policy flags indicate a “As Needed” mode of operation of SD module **23** during stage **S52**, SD module **23** proceeds to a stage **S60** of routine **50** to check the status of the scan dump flag. If the scan dump flag is in a set state, module **23** proceeds to stage **S54** to capture hardware scan dump data and then return to stage **S32**. If the scan dump flag is in a reset state, module **23** sequentially executes stage **S56** and stage **S58** as previously described herein. Those having ordinary skill in the art will appreciate that by conditionally capturing hardware scan data needed to analyze the operational failure, the present invention reduces the recovery time and enhances system availability.

While the embodiments of the present invention disclosed herein are presently considered to be preferred, various changes and modifications can be made without departing from the spirit and scope of the invention. The scope of the invention is indicated in the appended claims, and all changes that come within the meaning and range of equivalents are intended to be embraced therein.